



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q75483

Yasushi TAKEDA, et al.

Appln. No.: 10/650,668

Group Art Unit: Not yet assigned

Confirmation No.: Not yet assigned

Examiner: Not yet assigned

Filed: August 22, 2003

For: OPTICAL AMPLIFIER, METHOD FOR COMPENSATING FOR TEMPERATURE
DEPENDENCY OF GAIN FLATNESS OF AN OPTICAL AMPLIFIER AND OPTICAL
TRANSMISSION PATH INCLUDING OPTICAL AMPLIFIER

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby
notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached
PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem
material to patentability of the claims of the above-identified application.

1. Mototaka Kakui et al., Technical Digest of Optical Fiber Communication Conference,
USA, March 8, 2000, pp. 6-8, WA3.
2. Yuu Ishii et al., Proceedings of Topical Meeting on Optical Amplifiers and their
Applications, Italy, July 1, 2001, pp. 114-116.
3. Hiroji Masuda, Technical Digest of Optical Fiber Communication Conference, USA,
March 7, 2000, p.p. 2-4, TuA1.

INFORMATION DISCLOSURE STATEMENT
U.S. Appln. No.: 10/650,668

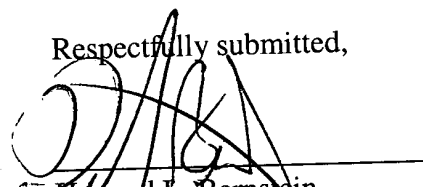
One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,


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23373

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Date: October 14, 2003

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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| Sheet | 1 | of | 1 |
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Complete if Known

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|------------------------|------------------|
| Application Number | 10/650,668 |
| Confirmation Number | Not yet assigned |
| Filing Date | August 22, 2003 |
| First Named Inventor | Yasushi TAKEDA |
| Art Unit | Not yet assigned |
| Examiner Name | Not yet assigned |
| Attorney Docket Number | Q75483 |

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published. | Translation ⁶ |
|--------------------|-----------------------|--|--------------------------|
| | | Mototaka Kakui et al., Technical Digest of Optical Fiber Communication Conference, USA, March 8, 2000, pp. 6-8, WA3 | |
| | | Yuu Ishii et al., Proceedings of Topical Meeting on Optical Amplifiers and their Applications, Italy, July 1, 2001, pp. 114-116 | |
| | | 3. Hiroji Masuda, Technical Digest of Optical Fiber Communication Conference, USA, March 7, 2000, p.p. 2-4, TuA1 | |
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| Examiner Signature | | Date Considered | |
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